

## Assessing the Evidence of a System of General Supervision: Part C

State \_\_\_\_\_

Date \_\_\_\_\_

<b>Component 1: State Performance Plan</b>		
<b>Evidences</b>	<b>Description/Documentation</b>	<b>Extent of evidence</b>
1. There is broad stakeholder involvement in establishing and revising targets.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
2. There is broad stakeholder input, including the State Interagency Coordinating Council (SICC) on improvement activities and monitoring progress and slippage.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
3. The SPP has measurable and rigorous targets.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
4. Data used to establish baselines, set targets, measure progress and slippage, are current, valid, and reliable.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
5. A complete SPP is submitted in a timely manner.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
6. The SPP is a living document that is revised as needed and used as a roadmap to guide improvement efforts for lead agencies and EIS programs.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 1: State Performance Plan**

<b>Evidences</b>	<b>Description/Documentation</b>	<b>Extent of evidence</b>
7. Analysis of progress and slippage, including compliance and performance, are used to prioritize the state's activities for the upcoming year.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
8. Improvement activities relate to the targets and flow from the data.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
9. The state lead agency evaluates each EIS program's performance against state targets.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
10. The state lead agency allocates resources and technical assistance to facilitate improved performance.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
11. The state lead agency has a system to evaluate the implementation and effectiveness of the SPP improvement activities.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
12. The most current dated SPP is posted on the state's website.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
13. The state lead agency publicly reports each EIS program's performance against state SPP targets.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 1: State Performance Plan**

<b>Evidences</b>	<b>Description/Documentation</b>	<b>Extent of evidence</b>
14. The state reports to the Secretary, in an annual performance report, the performance of the state under the SPP.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
15. The SPP is integrated within the state's accountability systems.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 2: Policies, Procedures, and Effective Implementation**

Evidences	Description	Extent of evidence
1. State policies and procedures are aligned with IDEA.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
2. State policies and procedures include descriptions of the range of sanctions to enforce correction		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
3. Early intervention services are provided in accordance with IDEA and the State Part C policies and procedures.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
4. The lead agency has adopted a comprehensive system of personnel development and policies and procedures to ensure that EIP personnel are qualified, and appropriately and adequately prepared.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
5. The lead agency establishes and maintains qualifications for personnel who provide Part C services.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
6. The lead agency has written policies and procedures in place to ensure early intervention services are provided in natural environments.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 2: Policies, Procedures, and Effective Implementation**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
7. Required MOUs, interagency agreements or other mechanisms to ensure the provision of early intervention services and fiscal responsibility for those services are in place, current and being implemented effectively.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
8. There is a single line of responsibility in the lead agency for carrying out the resolution of intra- and inter-agency disputes.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
9. Focused monitoring activities of the lead agency are designed and implemented to improve educational results, and enhance the development of appropriate activities and functional outcomes of all infants and toddlers with disabilities.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 3: Effective Dispute Resolution**

Evidences	Description	Extent of evidence
1. Resolution of all disputes must be in accordance with required timelines.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
2. Dispute resolution processes include mechanisms for ensuring that all related corrective actions have been implemented and noncompliance has been corrected.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
3. All personnel, including hearing officers and mediators, are trained appropriately on dispute resolution processes and IDEA requirements.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
4. Tracking of issues identified is used to determine patterns or trends.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
5. Results of dispute resolution processes are analyzed to determine the effectiveness of the dispute resolution system.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
6. Dispute resolution data are used to inform the state lead agency's integrated monitoring system.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
7. The lead agency has methods of regularly reviewing the issues and programs with resolutions and comparing that to other information.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 3: Effective Dispute Resolution**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
8. The lead agency encourages the use of a continuum of resolution options to resolve disputes at an informal level and as early as possible.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
9. Data demonstrate that parents understand their rights related to resolving disputes under IDEA.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 4: Data on Processes and Results**

<b>Evidences</b>	<b>Description</b>	<b>Extent of implementation</b>
1. Data are collected as required under section 618 of IDEA and by the secretary.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
2. EIS programs submit data in a timely and accurate manner.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
3. Verification is achieved through multiple methods and activities, including electronic, comparative and on-site monitoring to determine data accuracy.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
4. Local performance reports, dispute resolution data, and other sources of data are used by teams conducting on-site monitoring visits.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
5. The state's lead agency current SPP is found on the state's website.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
6. The state lead agency's reports are accurate and timely.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
7. The state lead agency distributes to EIS programs, and publicly reports on the performance of EIS programs in comparison to state targets.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced



**Component 4: Data on Processes and Results**

<b>Evidences</b>	<b>Description</b>	<b>Extent of implementation</b>
8. The state lead agency makes determinations on the status of EIS programs consistent with the minimum requirements specified by OSEP.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
9. State lead agencies include multiple measures of status in their determination decisions.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
10. Data are used to target and maximize technical assistance, professional development, as well as state lead agency resources.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 5: Integrated Monitoring Activities**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
1. There is stakeholder involvement in the development of measurable and rigorous targets.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
2. There is stakeholder involvement in the design, implementation, and evaluation of integrated monitoring activities (e.g., SICCC, family members, EIS provider staff).		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
3. Training is provided at all levels (state lead agency and EIS program) for those involved in monitoring to provide consistency in the implementation of monitoring procedures and to ensure transparency of the process.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
4. State and EIS program data are systematically reviewed to determine focused monitoring activities.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
5. Monitoring results trigger effective corrective actions, technical assistance, improvement strategies, fiscal decisions and other investments, sanctions, and incentive that ensure timely correction.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

### Component 5: Integrated Monitoring Activities

Evidences	Description	Extent of evidence
6. State identified noncompliance triggers effective corrective actions, technical assistance, improvement strategies, fiscal decisions and other investments, sanctions, and incentives.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
7. Focused monitoring activities should be geared toward identifying solutions and activities to enhance and improve performance as well as correcting noncompliance.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
8. Multiple data sources and methodologies (e.g., desk audits, surveys, on-site reviews, EIS program self-assessments) are used.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
9. Monitoring activities identify status of compliance and performance.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
10. Monitoring activities lead to the identification of underlying causes of noncompliance to assist in the development of improvement strategies.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

### Component 6: Targeted Technical Assistance and Professional Development

Evidences	Description	Extent of evidence
1. Technical assistance or professional development activities are directly linked to specific indicators in the SPP, including the identification and correction of noncompliance and improvement of performance.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
2. The state systematically disseminates information to EIS programs about promising and evidence based practices.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
3. Standards for professional development are designed to evaluate technical assistance and professional development activities.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
4. Evaluations of technical assistance and professional development involve evidence of a change of practice resulting in improved outcomes and compliance as well as building sustainability and capacity.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
5. Follow-up activities are built into the technical assistance design to determine whether improvement activities are carried out consistent with the technical assistance provided.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
Collaboration with provider and teacher education personnel and other higher education officials addresses the need for qualified providers who exhibit skills and knowledge in areas of state need.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 6: Targeted Technical Assistance and Professional Development**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
Networks exist at the state and local level to increase technical assistance capacity (e.g., personnel, knowledge base, local access to information for hard to reach audiences).		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 7: Improvement, Correction, Incentives, and Sanctions**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
1. The lead agency's authority for enforcement is clearly defined.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
2. Targeted training, technical assistance, and support are provided to EIS programs when developing and implementing corrective action.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
3. State rules authorize a range of sanctions.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
4. Model practices reflecting improvement activities of high performing EIS programs are identified in order to be replicated by other EIS programs.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
5. Rewards are provided to reinforce high performing EIS programs.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
6. Incentives are provided for improvement at the EIS provider level.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
7. Targeted technical assistance is provided by other agencies through interagency agreements.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 7: Improvement, Correction, Incentives, and Sanctions**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
8. The lead agency has procedures to track effectiveness of corrective actions and improvement strategies.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
9. The lead agency uses any monitoring and enforcement authority available.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 8: Fiscal Accountability**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
<p>1. The state may not use federal funds to satisfy a financial commitment for early intervention services that are funded by another public source or by a private source (nonsubstitution). There is an exception when it is necessary to prevent a delay in delivery of early intervention services.</p>		<p> <input type="checkbox"/> Clearly evidenced  <input type="checkbox"/> Partially evidenced  <input type="checkbox"/> Not clearly evidenced  <input type="checkbox"/> Not evidenced                 </p>
<p>2. State interagency agreements, statute, regulation, or other appropriate written methods establish fiscal responsibility for the provision of early intervention services and procedures for dispute resolution.</p>		<p> <input type="checkbox"/> Clearly evidenced  <input type="checkbox"/> Partially evidenced  <input type="checkbox"/> Not clearly evidenced  <input type="checkbox"/> Not evidenced                 </p>
<p>3. As determined by the state, lead agencies establish a system of payments that may include use of public and/or private insurance involving costs and a schedule of sliding fees but must provide certain services and functions at no-cost (such as evaluations and assessments, service coordination, IFSP review and development, child find, procedural safeguards) and must also ensure that children of parents that are determined unable to pay are not denied any Part C services.</p>		<p> <input type="checkbox"/> Clearly evidenced  <input type="checkbox"/> Partially evidenced  <input type="checkbox"/> Not clearly evidenced  <input type="checkbox"/> Not evidenced                 </p>



### Component 8: Fiscal Accountability

Evidences	Description	Extent of evidence
4. The SICC assists the lead agency in the identification of the fiscal resources and other support for early intervention services in addition to assigning financial responsibility to participating agencies and the promotion of interagency agreements.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
5. The state has a policy pertaining to contracting or making other arrangements with service providers to provide early intervention services in the state, consistent with the provisions of Part C, including the contents of the application used and the conditions of the contract or other arrangements.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
6. The state has a procedure for securing timely reimbursements of funds used under this part in accordance with section 640(a) of IDEA.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
7. The state submits a description of its use of Part C funds.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
8. Audits are conducted annually in accordance with the Single Audit Act.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
9. The state is required to ensure that Federal funds made available under section 643 of IDEA will be expended in accordance with this part.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 8: Fiscal Accountability**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
10. Part C IDEA funds are the payor of last resort and not used to satisfy a financial commitment that would have been paid for from other available public or private source.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
11. The lead agency may apply an approved restricted indirect cost rate that is reasonable and necessary to the administration of the Part C system of early intervention services.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
12. The lead agency ensures that the control of funds provided under section 643 of IDEA, and title to property derived from those funds, will be in a public agency for the uses and purposes provided in this part and that a public agency will administer such funds and property.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
13. The lead agency: (1) maintains fiscal records; (2) provides access to the Federal agency to ensure the correctness and verification of those reports; and (3) properly distributes Part C funds.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
14. Federal funds made available under 643 of IDEA to the State—(A) will not be commingled with State funds; and (B) will be used so as to supplement the level of State and local funds expended for infants and toddlers with disabilities and their families and in no case to supplant those State and local funds.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced

**Component 8: Fiscal Accountability**

<b>Evidences</b>	<b>Description</b>	<b>Extent of evidence</b>
15. Fiscal control and fund accounting procedures will be adopted as may be necessary to ensure proper disbursement of, and accounting for, Federal funds paid under section 643 of IDEA to the state.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
16. IDEA Part C funds are liquidated in a timely manner.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
17. The lead agency allocates adequate funds to support the system of general supervision.		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced
		<input type="checkbox"/> Clearly evidenced <input type="checkbox"/> Partially evidenced <input type="checkbox"/> Not clearly evidenced <input type="checkbox"/> Not evidenced